

Office of the Dean College of Information Sciences and Technology The Pennsylvania State University Eric J. Barron Innovation Hub 123 S. Burrowes Street State College, PA 16801-3867 814-865-3528 Fax: 814-865-5604 ist.psu.edu

Non-Tenure Line Promotion Guidelines IST AC-14 Administrative Guideline

Role of Non-Tenure Line Faculty

The College of IST is committed to developing integrated, high-quality programs that address missions in teaching, research, and service. IST's scholarship and research missions encourage the employment of non-tenure line (NTL) faculty possessing outstanding professional credentials and/or experience to complement the Tenured and Tenure-track Faculty to achieve the mission of the university on a balanced and continuous basis, in a dynamic and ever-changing academic landscape.

Non-tenure line faculty positions have been established to support the mission of the College. The nontenure line faculty with an instruction emphasis supports the teaching mission of the college; whereas the non-tenure line faculty with a research emphasis supports the research mission of the college by complementing the research agenda of tenure-track faculty.

Titles and Categories of Non-Tenure Line Faculty

Examples of titles are listed in the appendix in accordance with <u>AC-21</u> and may include but are not limited to those listed.

Initial Appointment

Appointments of non-tenure line faculty will be made by the Dean of the College of IST in accordance with definitions found in $\underline{AC-21}$ and this guideline.

Research ranks and Instructional ranks are intended for individuals who are engaged primarily in research or teaching respectively, and are always Non-tenure Line in nature. A secondary administrative title is feasible.

Newly hired individuals may be appointed at a higher-than-entry-level rank with an appropriate history of employment at an equivalent or lower rank, together with evidence of excellence in performance. This evidence may take the form of letters of reference which specifically address the issue of performance. For instructional-rank faculty members, evidence of success in instructional activities is appropriate, addressed either in letters of reference and/or by submission of records of teaching effectiveness from the previous institution(s).

Promotion and Evaluation

Promotion within non-tenure line ranks should normally be made in concordance/conformity with tenure eligible review calendars. Exceptions are allowed with the approval of the Dean. Promotions are expected to involve salary increases.

Nominations for Promotion for Non-Tenure Line Faculty

Once a year, faculty interested in being considered for promotion are invited to express their interest in being formally reviewed. Each individual who asks to be considered is instructed to submit a current CV and a narrative statement outlining contributions through teaching, research, and service in the context of the college's guidelines. STREs for the courses the individual has taught since the most recent promotion or when they were hired if they have not been promoted are added to candidate's materials.

The materials (i.e., CV, narrative statement, SRTEs) are shared with individuals, who hold the rank of equal to or higher than the one being sought, who are on currently on one of the college's NTL faculty promotion committees. The dean meets with this group to discuss each potential nominee. The discussion focuses on whether the individual may be successful in being promoted if they are nominated. The threshold for nominations is intended to avoid nominating individuals where there are obvious concerns that would prevent promotion. The intent is to allow individuals to be nominated when there is a chance of promotion, such that individuals who are nominated may or may not ultimately be promoted. Based on the conversation with this group, the dean notifies each individual who requested consideration of the outcome.

For those individuals where the feedback did not support nomination, the dean drafts a response outlining areas of concern, suggestions, and when appropriate a recommended timeline for seeking nomination. The draft is shared with the individuals consulted previously to ensure that all issues that contributed to not nominating the individual are addressed. Once there is agreement that the message effectively summarizes the concerns and suggestions of the group, the potential nominee is notified of the outcome.

Requests to be nominated are accepted once per year. If an individual has requested to be considered for formal review but was not nominated to proceed, they are strongly encouraged to wait at least as long as was suggested in the feedback provided by the dean. If the same individual requests consideration again (for the second time) and is once again denied, they are strongly encouraged to wait at least as long as was suggested in the feedback provided by the dean. At this point, when the individual requests consideration again (for the third time), they will be nominated for formal review with no feedback being provided regarding the materials that were submitted.

For those individuals where the feedback supported nomination, they are notified that they can proceed with submitting their materials for formal consideration for promotion.

Procedures for Evaluation and Review of Recommendations for Promotion

The candidate should prepare a written statement documenting performance relative to expected responsibilities. Standards for promotion should be guided by the norms and expectations of the candidate's disciplinary roots, but should also take into consideration the scope of the faculty member's primary assignment. A definition of the scope of responsibilities must accompany the documentation.

Documentation to support a recommendation for promotion must include Faculty Activity Reports, current Curriculum Vitae (C.V.), and other records of participation in activities related to the specific assignment including SRTEs, peer evaluations, documentation of innovation in teaching, research, publications, and grants, along with the record of recent performance evaluations. Promotion to the highest rank requires letters of evaluation from individuals beyond the college. Both the candidate and the first review committee will provide a list of individuals who could write such letters to the dean. The dean will select and invite individuals to write letters. In general, for instructional ranks, progress should include a component of pedagogical accomplishment. Promotion will depend upon contributions through teaching, research, and service while considering the details of an individual's assignment such that teaching will be given more weight for those in teaching-focused positions and research will be given

more weight for those in research-focused positions. Additional guidance regarding expectation for promotion is provided later in this document.

Consistent with Senate policy, candidates for promotion are reviewed by two committees within the College of Information Sciences and Technology. The first committee includes both NTL and tenured faculty who have the domain expertise to evaluate the candidate. This committee's recommendation is forwarded to a second committee comprised entirely of NTL faculty. The recommendation of the first committee and the recommendation produced by the second committee are forwarded with the written case to the Dean for final consideration.

Constituting a Committee

Individuals eligible to serve on the first committee have a full-time Tenure Track appointment or Non-Tenure Line appointment with a rank comparable or higher than the rank that the candidate is being considered for promotion to in the College of IST.

Individuals eligible to serve on the second committee include individuals who have a Non-Tenure Line appointment with a rank comparable or higher than the rank the candidate is being considered for promotion to in the College of IST.

The members of each committee are elected by the College's faculty in the spring semester for a two-year term. Membership is staggered. The first committee consists of five members, four elected and one appointed by the Dean. The second committee consists of three members, two elected and one appointed.by the Dean. The Chair for each committee is selected by the Dean.

Faculty members on leave of absence, including sabbatical leave are prohibited from participating in NTL promotion committees.

In regard to committee voting, members must recuse in advance of any discussion if there is a declared conflict of interest.

Timelines and Time-in-Rank

Time in rank should not be the primary consideration for promotion; the time periods described in the following sections are intended only as a general guideline.

It is generally expected that NTL faculty would have completed sufficient time-in-rank before applying for a promotion, which is typically five years in a grade. The anniversary date for determining time-in-rank is July 1.

Any exceptions to the Timelines and Time-in-rank expectations should have prior written approval from the Dean's office.

Term of Appointments

Although term appointments are frequently made with the clear possibility of reappointment or promotion, there is no entitlement to such action at the end of the term and it is by no means automatic. Instead, decisions on reappointment and promotion, like decisions on initial appointment, are subject to the exercise of professional and scholarly judgment and discretion by the College's faculty and academic leadership. Timely notice shall be given to the NTL faculty member to reappoint or to let the appointment lapse.

Assuming no performance-related concerns that would necessitate a shorter contract, NTL faculty who have either successfully completed six years of service as a full-time member of the college's teaching faculty or been promoted as a member of the college's teaching faculty will normally be offered a five-year contract when it is time to issue a new contract. If a NTL faculty member does is not meet the above criteria a three-year contract will normally be issued.

Note: NTL faculty for whom there are performance-related concerns, will receive feedback and the opportunity to address any concerns. In extreme cases, this may not be possible. When there are performance concerns, faculty may be offered a contract that is shorter in duration (e.g. one or two years).

APPENDIX

When determining if the promotion criteria have been satisfied, the emphasis should be on contributions since the last promotion. The suggested timeline between ranks is five years.

The contributions to be considered at each stage of promotion are outlined below.

Lecturer to Assistant Teaching Professor - Faculty without a terminal degree may be hired as Lecturers and the highest rank they can achieve is Associate Teaching Professor.

- Consistently strong teaching record with the potential to excel
- Strong record of service
- Research contributions consistent with one's appointment

Assistant Teaching Professor to Associate Teaching Professor

For those with a terminal degree:

- Consistently strong teaching record with the potential to excel
- Strong record of service
- Research contributions consistent with one's appointment

For those without a terminal degree:

- Excellence in teaching
- Leadership as exemplified by teaching innovations, curricular design, and faculty and student mentoring
- Excellent record of service to the college, university, and the field in general.
- Could include research contributions or other contributions if time is provided for these activities as part of their contract

Associate Teaching Professor to Teaching Professor

- Excellence in teaching
- Leadership as exemplified by teaching innovations, curricular design, and faculty and student mentoring
- Excellent record of service to the college, university, and the field in general.
- Could include research contributions or other contributions if time is provided for these activities as part of their contract
- Terminal degree (required)

Researcher to Assistant Research Professor - Faculty without a terminal degree may be hired as Researcher and the highest rank they can achieve is Associate Research Professor.

- Consistently strong research record with the potential to excel
- Strong record of service
- Teaching contributions consistent with one's appointment

Assistant Research Professor to Associate Research Professor

For those with a terminal degree:

- Consistently strong research record with the potential to excel
- Strong record of service
- Teaching contributions consistent with one's appointment

For those without a terminal degree:

- Excellence in research
- Leadership as exemplified by research innovations, and faculty and student mentoring
- Excellent record of service to the college, university, and the field in general.
- Could include teaching contributions or other contributions if time is provided for these
 activities as part of their contract

Associate Research Professor to Research Professor

- Excellence in research
- o Leadership as exemplified by research innovations, and faculty and student mentoring
- Excellent record of service to the college, university, and the field in general.
- Could include teaching contributions or other contributions if time is provided for these activities as part of their contract
- Terminal degree (required)

01.16.13 (~kb) 02.14.13 (~kb) 04.04.13 (1~wf) 04.16.15 (FC appr'd) 08.22.17 (Letterhead Updated) (~kb) 12.11.17 HR-21 changed to AC-21 01.10.18 Job titles, promotion criteria, process, and timeline 11.16.2020 (~kb) Changed from IST_HR-01 to IST_AC-14 10.4.21 Removed Appendix sunset clause, valid only through 2020-21 (~sb) 11.21.21 Added the section *Nominations for Promotion for Non-Tenure Line Faculty* (~sb) 9.20.22 Letterhead updated, changed Fixed-term to NTL, added section *Constituting a Committee (kw)* 11.29.22 Updated Terms of Appointments (kw) 2.23.23 Revised to reflect new timeline (~sb)